

Electronic Acknowledgement Receipt

EFS ID:	1603775
Application Number:	09775599
International Application Number:	
Confirmation Number:	9235
Title of Invention:	Security analyst estimates performance viewing system and method
First Named Inventor/Applicant Name:	Joseph G. Gatto
Customer Number:	909
Filer:	Bradford C. Blaise/Susan Trader
Filer Authorized By:	Bradford C. Blaise
Attorney Docket Number:	23449-016
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Application Type:	Utility

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed	0311371_IDS.pdf	314859	no	8

Warnings:

Information:

This is not an USPTO supplied IDS fillable form

2	Foreign Reference	JP2004046454.pdf	73034	no	2
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Warnings:**Information:**

3	NPL Documents	Hulbert_Financial.pdf	3391255	no	11
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Warnings:**Information:**

4	NPL Documents	deAenlle.pdf	408341	no	2
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Total Files Size (in bytes):	4187489
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.